



## HealthCare Global Enterprises Limited

(CIN: L15200KA1998PLC023489)

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Ref:

Date: 04<sup>th</sup> October, 2018

Dear Shareholders,

**Subject: BSE and NSE Circulars to Listed Companies - Amendment to Regulation 40 of SEBI (LODR) Regulations, 2015. (Cir. No. LIST/COMP/15/2018 dated July 05, 2018 and NSE/CML/2018/26 dated July 09, 2018).**

BSE and National Stock Exchange have issued Circulars to Listed Companies on July 05, 2018 and July 09, 2018 respectively informing about amendment to Regulation 40 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 vide Gazette notification dated June 8, 2018 informing about mandatory dematerialisation of securities for transfer thereof.

According to the Circulars, request for effecting transfer of securities shall not be processed unless the securities are held in the Dematerialized form with the depository with effect from December 5, 2018. **Therefore, please note that RTA and Company shall not be accepting any request for transfer of shares in physical form with effect from December 5, 2018.** This restriction shall not be applicable to the request received for transmission or transposition of physical shares.

You may access the Circulars from the websites of BSE Ltd. and National Stock Exchange Ltd at [www.bseindia.com](http://www.bseindia.com) & [www.nseindia.com](http://www.nseindia.com) respectively.

Shareholders are accordingly advised to get in touch with any Depository Participant having registration with SEBI to open a Demat account or alternatively, contact any office of the nearest Karvy branch to guide you in the demat procedure. You may also visit website of depositories viz., NSDL or CDSL for further understanding about the demat procedure:

NSDL website: <https://nsdl.co.in/faqs/faq.php> (dematerialization)

CDSL website: <https://www.cdslindia.com/investors/open-demat.aspx>

**Shareholders, holding shares in physical form are requested to arrange the dematerialization of the said shares at the earliest to avoid any inconvenience in future for transferring those shares.**

This is for your information and necessary action.

Thanking you,

Yours faithfully,

For HealthCare Global Enterprises Limited

Sd/-

Sunu Manuel  
Company Secretary

## **MANDATORY DEMATERIALIZATION FOR TRANSFER OF SECURITIES**

The Securities and Exchange Board of India (SEBI) has amended the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 whereby a request for transfer of securities shall not be processed unless the securities are held in dematerialized form. The said restriction comes into effect from December 5, 2018. Pursuant to the said amendment, please note that with effect from December 5, 2018 –

|   |             |
|---|-------------|
| Transfer of securities in physical form                         | Not allowed |
| Holding of securities in physical form                          | Allowed     |
| Transmission/ Transposition of securities held in physical form | Allowed     |

In view of the aforesaid, shareholders holding physical shares are requested to dematerialize their shares on or before December 5, 2018. The benefits of holding securities in dematerialized mode are as under:

- Convenient mode of holding securities, especially in case you are holding shares of many companies.
- Transfer of securities is easy and quick.
- No stamp duty is payable on transfer of securities in demat mode.
- Elimination of risks such as bad delivery, fake securities, loss of share certificates etc.
- Reduction in paper work and transaction cost.
- Saving of time – Change in address / bank account particulars etc. recorded with Depository Participant gets registered electronically with all companies in which you hold securities.
- Facilitates direct credit of shares in case of allotment under Initial Public Offer, Rights Issue, Bonus Issue, Stock Split etc.

For your ready reference, the procedure for dematerialization of shares is provided herein below.

## PROCEDURE FOR DEMATERIALIZATION OF SHARES

- Please open a Beneficiary Account with a Depository Participant (DP) registered with SEBI and with any one of the Depositories i.e., NSDL or CDSL.
- Thereafter, submit the Dematerialization Request Form (DRF) (in triplicate) to your DP duly filled in and signed, along with share certificate(s) in original and other requisite documents. The shareholder/DP will deface the share certificates by writing "SURRENDERED FOR DEMATERIALIZATION".
- The DP will verify DRF and share certificates and issue an acknowledgement slip duly signed and stamped, to the shareholder.
- The DP will thereafter scrutinize DRF and share certificates. In case the DRF/share certificates are in order, the details of the request as mentioned in the DRF are entered in the DPM (software provided by the Depositories to the DPs) and a Dematerialization Request Number (DRN) will be generated by the system. In case the DRF/share certificates are not in order, the same will be returned to the shareholder for removing deficiencies.
- The DRN so generated is entered in the space provided for the purpose in the DRF. The DRF, with the DRN mentioned on it, will then be released electronically to the Company. The DP will also dispatch the share certificates along with the DRF and a covering letter to the Company for verification and approval.
- The Company will after due verification of DRF and share certificates, confirm acceptance of the request for dematerialization in its system DPM (SHR).
- On receiving confirmation from the Company, the concerned Depository would credit an equivalent number of securities in your Beneficiary Account.
- The Company may reject dematerialization request in some cases and will send an objection memo to the DP along with DRF and share certificates. The DP, if the shareholder so requires, may generate a new DRN and send the DRF & share certificates again to the Company for dematerialization.
- The process of dematerialization is completed within 21 days from the date of submission of a valid dematerialization request.